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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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|------------------------|----------------------|
| Application Number | 09/872,183 |
| Filing Date | May 31, 2000 |
| First Named Inventor | Melissa K. Carpenter |
| Group Art Unit | To be determined |
| Examiner Name | To be determined |
| Attorney Docket Number | 094/004 |

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449; References (40) |
|---|---|---|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

J. Michael Schiff, Registration No. 40,253

Signature

Date

November 30, 2001

CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of: Carpenter et al.

Serial No.: 09/872,183

Filing Date: May 31, 2001

For: NEURAL PROGENITOR CELL
POPULATIONS

Art Unit: TBD

Examiner: TBD

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

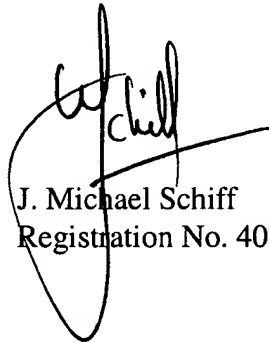
Dear Sir:

The information listed in the accompanying form PTO-1449 and provided herewith may be material to examination of this application and is submitted in compliance with the duty of disclosure under 37 CFR § 1.56. The Examiner is requested to make this information of record in the application.

This Information Disclosure Statement is not to be construed as a representation that a full search for relevant information has been made, that all relevant information has been found, or that the information provided with this Statement is considered to be material to patentability of the claimed invention as defined under 37 CFR § 1.56(b).

It is believed that no fee is required for submission of this Statement, which is filed before the first Office Action on the merits of the application. Nevertheless, should a fee be required for consideration of this Statement and the listed information, the Assistant Commissioner is authorized to charge such fee to Deposit Account No. 07-1139, referencing the attorney Docket Number indicated above.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "J. Michael Schiff", is written over a large, loopy circular flourish.

J. Michael Schiff
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Date: November 28, 2001

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| Form 1449 (modified) | Docket: 094/004 | U.S.S.N. To Be Assigned |
| Information Disclosure Statement By Applicant | Title: Neural Progenitor Cell Populations Inventors: Melissa K. Carpenter | TECH CENTER 1600/2900 01 DEC -3 PM 12:59 |
| (Use Several Sheets if Necessary) | Filing Date: May 31, 2001 | Group: To Be Assigned |

U.S. Patent Documents

| Examiner Initial | Ref. | Patent No. | Filing Date | Issue Date | Class/ Subclass | Inventors: | Title: |
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| | E | 5,849,553 | Jun 7/95 | Dec 15/98 | 435/172.3 | Anderson DJ et al. | Mammalian Multipotent Neural Stem Cells |
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Foreign Patent or Published Foreign Patent Application

| Examiner Initial | Ref. | Document No. | Publ. Date | Jurisdiction | Title: | Translation | |
|------------------|------|--------------|------------|--------------|--|-------------|----|
| | | | | | | Yes | No |
| | K | WO 98/50526 | Nov 12/98 | PCT | Generation, Characterization, and Isolation of Neuroepithelial Stem Cells and Lineage Restricted Intermediate Precursor | | |
| | L | WO 99/01159 | Jan 14/99 | PCT | Lineage-Restricted Neuronal Precursors | | |
| | M | WO 99/04775 | Feb 4/99 | PCT | Method of Treating Dopaminergic and Gaba-Nergic Disorders | | |
| | N | WO 00/17323 | Mar 30/00 | PCT | Stable Neural Stem Cell Lines | | |
| | O | WO 00/47762 | Aug 17/00 | PCT | Enriched Central Nervous System Stem Cell and Progenitor Cell Populations, and Methods for Identifying, Isolating and Enriching for Such Populations | | |
| | P | WO 01/68815 | Sep 20/01 | PCT | Embryonic Stem Cells and Neural Progenitor Cells Derived Therefrom | | |

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| (Use Several Sheets if Necessary) | Filing Date: May 31, 2001 | Group: To Be Assigned |

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Other Documents

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